PTO/SB/05 (01-04)

Approved for use through 07/31/2006. OMB 0651-0090
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UTILITY PATENT APPLICATION **TRANSMITTAL**

Attorney Docket No. MAR84 010 Troy Fischer First Inventor FIGURE TRANSPORTING TOY Title EU942548605US Everess Mail I ahel No

(Only for new nonprovisional applications under 37 CFR 1.53(b))

| | | Express Wall Laber No. | | | | | |
|---|--|--|---|--|--|--|--|
| See MPEP o | APPLICATION ELEMENTS chapter 600 concerning utility patent application contents. | ADDRESS TO: Commission P.O. Box 145 | tent Application er for Patents i0 A 22313-1450 | | | | |
| (Submit 2. Applica See 37 3. Specific (preferr - Descr - Cross - Stater - Referr or a c - Backg - Brief 5 - Brief 1 - Detail | red arrangement set forth below) riptive title of the invention Reference to Related Applications ment Regarding Fed sponsored R & D ence to sequence listing, a table, computer program listing appendix ground of the Invention Summary of the Invention Description of the Drawings (if filed) ed Description (s) | 7. CD-ROM or CD-R in duplica Computer Program (Append 8. Nucleotide and/or Amino Acid Se (if applicable, all necessary) a. Computer Readable Fo b. Specification Sequenc i. CD-ROM or CD- ii. Paper c. Statements verifying ic | equence Submission orm (CRF) e Listing on: R (2 copies); or dentity of above copies | | | | |
| - Abstract of the Disclosure 4. Drawing(s) (35 U.S.C. 113) [Total Sheets] 5. Oath or Declaration [Total Sheets] a. Newly executed (original or copy) b. Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 18 completed) i. DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) name in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). 6. Application Data Sheet. See 37 CFR 1.76 | | 9. Assignment Papers (cover sheet & document(s)) 10. 37 CFR 3.73(b) Statement Power of (when there is an assignee) Attorney 11. English Translation Document (if applicable) 12. Information Disclosure Copies of IDS Statement (IDS)/PTO-1449 Citations 13. Preliminary Amendment 14. Return Receipt Postcard (MPEP 503) (Should be specifically itemized) 15. Certified Copy of Priority Document(s) (if foreign priority is claimed) 16. Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. 17. Other: Ply the requisite information below and in the first sentence of the 7 CFR 1.76: | | | | | |
| Continuation Divisional Continuation-in-part (CIP) of prior application No.: | | | | | | | |
| Prior application information: Examiner Art Unit: For CONTINUATION OR DIVISIONAL APPS only; The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. | | | | | | | |
| | 19. CORRESPON | DENCE ADDRESS | · | | | | |
| Custom | ner Number: | OR ☑ Corresp | ondence address below | | | | |
| Name | John M. Johnson | | | | | | |
| Address | Carter Ledyard & Milburn LLP 2 Wall Street | | | | | | |
| City | New York | State New York | Zip Code 10005 | | | | |
| Country | | elephone (212) 238-8650 | Fax (212) 732-3232 | | | | |
| Name (Print/Ty | | . (212) 200 0000 | (2.2).02.0202 | | | | |
| Name (Print/Type) John M. Johnsch Registration No. (Attorney/Agent) 33,334 Signature Date February 12, 2004 | | | | | | | |
| This collection of information is required by 37 CER 1 53(h). The information is required to obtain or retain a benefit by the public which is to file (and by the | | | | | | | |

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

PTO/SB/17 (10-03)
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| FEE | TR | AN | SM | ITT | AL |
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| | for | FY | 200 | 04 | |

Effective 10/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

Name (Print/Type)

John M. Johnson

(\$) 464

| Complete if Known | | | | | |
|----------------------|-------------------|--|--|--|--|
| Application Number | N/A | | | | |
| Filing Date | February 12, 2004 | | | | |
| First Named Inventor | Troy Fischer | | | | |
| Examiner Name | N/A | | | | |
| Art Unit | N/A | | | | |
| Attorney Docket No. | MAR84 010 | | | | |

| METHOD OF PAYMENT (check all that apply) | FEE CALCULATION (continued) | | | | | |
|--|-----------------------------|-------------------------|--------|----------|--|--------------|
| ✓ Check Credit card Money Other None | 3. ADDITIONAL FEES | | | | | |
| Order Order | <u>Large</u> | Entity | Small | Entity | | |
| Deposit Count: | | Fee | Fee | Fee | Fee Description | |
| Account 502 499 | Code 1051 | ()) 130 | 2051 | (\$) | Surcharge - late filing fee or oath | Fee Pald |
| Number Deposit O to | 1051 | 50 | 2052 | | Surcharge - late provisional filing fee or | |
| Account Name Carter Ledyard & Milburn | 1032 | 30 | 2032 | 25 | cover sheet | |
| The Director is authorized to: (check all that apply) | 1053 | 130 | 1053 | | Non-English specification | |
| Charge fee(s) indicated below Credit any overpayments | | 2,520 | 1812 | -, | For filing a request for ex parte reexamination | |
| Charge any additional fee(s) or any underpayment of fee(s) | 1804 | 920* | 1804 | 920° | Requesting publication of SIR prior to Examiner action | |
| Charge fee(s) indicated below, except for the filing fee | 1805 | 1,840° | 1805 | 1,840* | Requesting publication of SIR after | |
| to the above-identified deposit account. | | | | | Examiner action | |
| FEE CALCULATION | 1251 | 110 | 2251 | 55 | Extension for reply within first month | |
| 1. BASIC FILING FEE | 1252 | 420 | 2252 | 210 | Extension for reply within second month | |
| Large Entity Small Entity | 1253 | 950 | 2253 | 475 | Extension for reply within third month | |
| Fee Fee Fee Fee Description Fee Paid Code (\$) Code (\$) | 1254 | 1,480 | 2254 | 740 | Extension for reply within fourth month | |
| 1001 770 2001 385 Utility filing fee 385 | 1255 | 2,010 | 2255 | 1,005 | Extension for reply within fifth month | |
| 1002 340 2002 170 Design filing fee | 1401 | 330 | 2401 | 165 | Notice of Appeal | |
| 1003 530 2003 265 Plant filing fee | 1402 | 330 | 2402 | 165 | Filing a brief in support of an appeal | |
| 1004 770 2004 385 Reissue filing fee | 1403 | 290 | 2403 | 145 | Request for oral hearing | |
| 1005 160 2005 80 Provisional filing fee | 1451 | 1,510 | 1451 | 1,510 | Petition to institute a public use proceeding | |
| SUBTOTAL (1) (\$) 385 | 1452 | 110 | 2452 | 55 | Petition to revive - unavoidable | |
| | 1453 | 1,330 | 2453 | 665 | Petition to revive - unintentional | |
| 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE | | 1,330 | 2501 | 665 | Utility issue fee (or reissue) | |
| Extra Claims below Fee Paid | 1502 | 480 | 2502 | 240 | Design issue fee | |
| Total Claims 24 -20** = 4 X 9 = 36 | 1503 | 640 | 2503 | 320 | Plant issue fee | |
| Claims 4 - 3 - 2 - 3 - 2 - 3 - 4 - 3 - 2 - 3 - 2 - 3 - 2 - 3 - 2 - 3 - 3 | 1460 | 130 | 1460 | 130 | Petitions to the Commissioner | |
| Multiple Dependent | 1807 | 50 | 180 | 7 50 | Processing fee under 37 CFR 1.17(q) | |
| Large Entity Small Entity | 1806 | 180 | 1806 | 3 180 | Submission of Information Disclosure Stmt | |
| Fee Fee Fee Fee Description Code (\$) | 8021 | 40 | 802 | 1 40 | Recording each patent assignment per property (times number of properties) | |
| 1202 18 2202 9 Claims in excess of 20 1201 86 2201 43 Independent claims in excess of 3 | 1809 | 770 | 2809 | 385 | Filing a submission after final rejection (37 CFR 1.129(a)) | |
| 1203 290 2203 145 Multiple dependent claim, if not paid | 1810 | 770 | 2810 | 385 | For each additional invention to be | |
| 1204 86 2204 43 ** Reissue independent claims over original patent | 1801 | 770 | 2801 | 385 | examined (37 CFR 1.129(b)) Request for Continued Examination (RCE) | |
| 1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent | 1802 | 900 | 1802 | | Request for expedited examination of a design application | |
| | Other | fee (sp | ecify) | | a. a dadgii uppiidandii | |
| SUBTOTAL (2) (\$) 79 | | | | Filing F | ee Paid SUBTOTAL (3) (\$) | |
| **or number previously paid, if greater; For Reissues, see above | | | | _ | (Complete (if applicable)) | |

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Registration No.

33,334

Telephone 212 238-8650

Attorney Docket No.: MAR84 010

. 14 C. W.

1130

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Troy Fischer

Filed: February 12, 2004 (Herewith)

For: FIGURE TRANSPORTING TOY

Mail Stop Patent Application Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

CERTIFICATE OF EXPRESS MAILING

(Express Mail Label No. EU942548605US)

I hereby certify that the enclosed documents:

Utility Patent Application Transmittal Fee Transmittal Patent Application (17 pgs.) Formal Drawings (12 pgs) Check No. 008629 for \$464 Return Postcard

are being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 to Mail Stop Design, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on February 12, 2004

Signature of Person Mailing Correspondence

SARAH A. MAKCHAWO

Typed or Printed Name of Person Mailing Correspondence